

MARV Capital Inc.
Form CRS – Client Relationship Summary

Member FINRA | Member SIPC | CRD# 104390

December 1, 2025

Introduction

MARV Capital Inc. (“MARV” or “we”) is registered with the Securities and Exchange Commission (SEC) as a broker-dealer and is a member of FINRA and SIPC. Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

Affiliate Disclosure: UnBound X Inc. is a technology platform under common ownership with MARV Capital Inc. Maneesh Awasthi holds ownership interests in both entities. UnBound X is **not** a broker-dealer and does not provide brokerage services or investment advice. All brokerage services are provided exclusively by MARV Capital Inc. Clearing and custody of customer accounts are provided by Alpaca Securities LLC, a FINRA-registered and DTCC-member broker-dealer.

What investment services and advice can you provide me?

We offer brokerage services to retail investors in two areas:

Public Securities Trading. We provide order execution services for equities and exchange-traded options through our clearing relationship with Alpaca Securities LLC. Customers access trading through the UnBound X digital platform, which serves as the technology interface for MARV’s brokerage services. We offer standard cash and margin accounts. Options trading is available subject to account approval based on your experience, financial situation, and risk tolerance.

Private Placements. We facilitate investments in private securities offerings, including offerings under Regulation D Rule 506(c), Regulation A+, and Regulation Crowdfunding. Investment minimums vary by offering. Regulation D offerings are limited to accredited investors.

Scope of Services. We provide transaction-based (non-discretionary) brokerage services. You make all investment decisions. We do not provide ongoing portfolio monitoring or investment advisory services. We may make recommendations subject to Regulation Best Interest.

Account Minimums: No minimum for public securities trading accounts. Private placement minimums vary by offering (typically \$100–\$10,000).

Additional Information: For more detail about our services, visit unboundxinc.com/legal or our Reg BI Disclosure at unboundxinc.com/reg-bi-disclosure.

Conversation Starters. Ask your financial professional:

- *Given my financial situation, should I choose a brokerage service? Why or why not?*
- *How will you choose investments to recommend to me?*
- *What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?*

What fees will I pay?

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Public Securities Trading: We offer commission-free equity trading. Options trades are subject to a per-contract fee. Regulatory fees (REG and TAF) are assessed on sale transactions at rates set by FINRA and the SEC. If you maintain a margin account, you will pay interest on borrowed funds. More frequent trading results in higher regulatory pass-through costs.

Private Placements: You may pay a transaction-based convenience fee of up to 3.5% of the investment amount. We may also receive compensation from issuers, including placement fees (typically 5–10% of capital raised) and equity compensation (warrants). These issuer-paid fees are not charged directly to you but create conflicts of interest described below.

Additional Information: Visit unboundxinc.com/reg-bi-disclosure for detailed fee schedules.

Conversation Starters. Ask your financial professional:

- *Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?

When we provide you with a recommendation, we have to act in your **best interest** and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations we provide you. Here are some examples:

- We earn transaction-based compensation, which incentivizes us to encourage you to trade more frequently.
- We receive placement fees and may receive equity compensation (warrants) from issuers of private offerings, which incentivizes us to recommend those offerings.
- Some issuers on our platform may be affiliated with UnBound X Inc. or MARV Capital Inc.
- Issuers paying marketing support fees may receive enhanced platform visibility.

Conversation Starters. Ask your financial professional:

- *How might your conflicts of interest affect me, and how will you address them?*

How do your financial professionals make money?

Our financial professionals receive compensation that may include salary and performance-based bonuses tied to revenue generated, new accounts opened, and overall firm performance. This creates an incentive to encourage transactions.

Do you or your financial professionals have legal or disciplinary history?

No. Visit Investor.gov/CRS for a free and simple search tool to research us and our financial professionals.

Conversation Starters. Ask your financial professional:

- *As a financial professional, do you have any disciplinary history? For what type of conduct?*

Additional Information

For additional information about our services, visit <http://marvcapital.com/disclosures/>. To request up-to-date information or a copy of this relationship summary, contact us at support@marvcapital.com or call (646) 450-5955.

To report a problem to the SEC, visit Investor.gov or call the SEC's toll-free investor assistance line at (800) 732-0330. To report a problem to FINRA, visit finra.org/investors/have-problem or call (301) 590-6500. If you have a problem with your investments, investment account, or a financial professional, contact us in writing at: MARV Capital Inc., 1 West End, Suite 11D, New York, NY 10023.

Conversation Starters. Ask your financial professional:

- *Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?*